

Attorney's Docket No.: 41016.P008

Patent

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application for:

Bau et al.

Application No.: 10/082,794

Filed: February 22, 2002

For: ANNOTATION BASED
DEVELOPMENT PLATFORM
FOR STATEFUL WEB
SERVICES

Examiner: Not yet assigned

Art Group: 2151

CERTIFICATE OF MAILING

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail with sufficient postage in an envelope addressed Commissioner for Patents, Washington, DC 20231 on:

Date of Deposit: MAY 15, 2002

Name of Person Mailing: MEHEUE TURNER

Signature: [Signature] Date: 5.15.02

Box MISSING PARTS
Commissioner for Patents
Washington, DC 20231

**RESPONSE TO NOTICE TO FILE MISSING PARTS
OF NONPROVISIONAL APPLICATION (FILING DATE GRANTED)**

Sir:

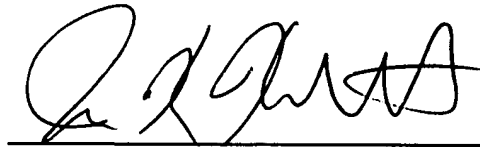
Enclosed for filing in the above-referenced patent application in response to the Notice to File Missing Parts of Nonprovisional Application dated March 15, 2002 are the following:

- (1) The Commissioner is hereby authorized to charge Deposit Account No. 501569 in the amount of \$1,698.00 for payment of the basic filing fee (37 CFR 1.27), additional claims fee, and late filing fee, oath or declaration surcharge (37 CFR 1.16(e));
- (2) A duly executed Combined Declaration and Power of Attorney; and
- (3) A copy of the Notice to File Missing Parts.

The Commissioner is hereby authorized to charge shortages or credit overpayments to Deposit Account No. 501569. A Fee Transmittal is enclosed in duplicate for fee processing purposes.

Respectfully submitted,
COLUMBIA IP LAW GROUP, PC

Dated: 5/15, 2002



Jason K. Klindtworth
Registration No. 47,211

10260 SW Greenburg Road, Suite 820
Portland, Oregon 97223
Telephone: 503-595-2800



COPY OF PAPERS
ORIGINALLY FILED

PTO/SB/17 (10-01)

Approved for use through 10/31/2002. OMB 0651-0032
Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

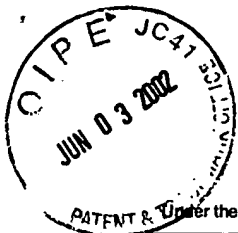
FEE TRANSMITTAL for FY 2002		Complete if Known	
		Application Number	10/082,794
Patent fees are subject to annual revision.		Filing Date	February 22, 2002
		First Named Inventor	David Bau, III
		Examiner Name	Not yet assigned
		Group Art Unit	2151
		Attorney Docket No.	41016.P008
TOTAL AMOUNT OF PAYMENT		(\$) 1,698.00	

METHOD OF PAYMENT		FEE CALCULATION (continued)																																																																																																																																																																																										
<p>1. <input checked="" type="checkbox"/> The Commissioner is hereby authorized to charge indicated fees and credit any overpayments to:</p> <p>Deposit Account Number: 501569</p> <p>Deposit Account Name: Columbia IP Law Group, PC</p> <p><input checked="" type="checkbox"/> Charge Any Additional Fee Required Under 37 CFR 1.16 and 1.17</p> <p><input type="checkbox"/> Applicant claims small entity status. See 37 CFR 1.27</p>		<p>3. ADDITIONAL FEES</p> <table border="1"><thead><tr><th>Fee Code</th><th>Large Entity Fee (\$)</th><th>Small Entity Fee (\$)</th><th>Fee Description</th><th>Fee Paid</th></tr></thead><tbody><tr><td>105</td><td>130</td><td>205</td><td>65</td><td>Surcharge - late filing fee or oath</td><td>130.00</td></tr><tr><td>127</td><td>50</td><td>227</td><td>25</td><td>Surcharge - late provisional filing fee or cover sheet</td><td></td></tr><tr><td>139</td><td>130</td><td>139</td><td>130</td><td>Non-English specification</td><td></td></tr><tr><td>147</td><td>2,520</td><td>147</td><td>2,520</td><td>For filing a request for <i>ex parte</i> reexamination</td><td></td></tr><tr><td>112</td><td>920*</td><td>112</td><td>920*</td><td>Requesting publication of SIR prior to Examiner action</td><td></td></tr><tr><td>113</td><td>1,840*</td><td>113</td><td>1,840*</td><td>Requesting publication of SIR after Examiner action</td><td></td></tr><tr><td>115</td><td>110</td><td>215</td><td>55</td><td>Extension for reply within first month</td><td></td></tr><tr><td>116</td><td>400</td><td>216</td><td>200</td><td>Extension for reply within second month</td><td></td></tr><tr><td>117</td><td>920</td><td>217</td><td>460</td><td>Extension for reply within third month</td><td></td></tr><tr><td>118</td><td>1,440</td><td>218</td><td>720</td><td>Extension for reply within fourth month</td><td></td></tr><tr><td>128</td><td>1,960</td><td>228</td><td>980</td><td>Extension for reply within fifth month</td><td></td></tr><tr><td>119</td><td>320</td><td>219</td><td>160</td><td>Notice of Appeal</td><td></td></tr><tr><td>120</td><td>320</td><td>220</td><td>160</td><td>Filing a brief in support of an appeal</td><td></td></tr><tr><td>121</td><td>280</td><td>221</td><td>140</td><td>Request for oral hearing</td><td></td></tr><tr><td>138</td><td>1,510</td><td>138</td><td>1,510</td><td>Petition to institute a public use proceeding</td><td></td></tr><tr><td>140</td><td>110</td><td>240</td><td>55</td><td>Petition to revive - unavoidable</td><td></td></tr><tr><td>141</td><td>1,280</td><td>241</td><td>640</td><td>Petition to revive - unintentional</td><td></td></tr><tr><td>142</td><td>1,280</td><td>242</td><td>640</td><td>Utility issue fee (or reissue)</td><td></td></tr><tr><td>143</td><td>460</td><td>243</td><td>230</td><td>Design issue fee</td><td></td></tr><tr><td>144</td><td>620</td><td>244</td><td>310</td><td>Plant issue fee</td><td></td></tr><tr><td>122</td><td>130</td><td>122</td><td>130</td><td>Petitions to the Commissioner</td><td></td></tr><tr><td>123</td><td>50</td><td>123</td><td>50</td><td>Petitions related to provisional applications</td><td></td></tr><tr><td>126</td><td>180</td><td>126</td><td>180</td><td>Submission of Information Disclosure Stmt</td><td></td></tr><tr><td>581</td><td>40</td><td>581</td><td>40</td><td>Recording each patent assignment per property (times number of properties)</td><td></td></tr><tr><td>146</td><td>740</td><td>246</td><td>370</td><td>Filing a submission after final rejection (37 CFR § 1.129(a))</td><td></td></tr><tr><td>149</td><td>740</td><td>249</td><td>370</td><td>For each additional invention to be examined (37 CFR § 1.129(b))</td><td></td></tr><tr><td>179</td><td>740</td><td>279</td><td>370</td><td>Request for Continued Examination (RCE)</td><td></td></tr><tr><td>169</td><td>900</td><td>169</td><td>900</td><td>Request for expedited examination of a design application</td><td></td></tr><tr><td colspan="5">Other fee (specify)</td><td></td></tr><tr><td colspan="5">SUBTOTAL (3)</td><td>(\$) 130.00</td></tr></tbody></table>		Fee Code	Large Entity Fee (\$)	Small Entity Fee (\$)	Fee Description	Fee Paid	105	130	205	65	Surcharge - late filing fee or oath	130.00	127	50	227	25	Surcharge - late provisional filing fee or cover sheet		139	130	139	130	Non-English specification		147	2,520	147	2,520	For filing a request for <i>ex parte</i> reexamination		112	920*	112	920*	Requesting publication of SIR prior to Examiner action		113	1,840*	113	1,840*	Requesting publication of SIR after Examiner action		115	110	215	55	Extension for reply within first month		116	400	216	200	Extension for reply within second month		117	920	217	460	Extension for reply within third month		118	1,440	218	720	Extension for reply within fourth month		128	1,960	228	980	Extension for reply within fifth month		119	320	219	160	Notice of Appeal		120	320	220	160	Filing a brief in support of an appeal		121	280	221	140	Request for oral hearing		138	1,510	138	1,510	Petition to institute a public use proceeding		140	110	240	55	Petition to revive - unavoidable		141	1,280	241	640	Petition to revive - unintentional		142	1,280	242	640	Utility issue fee (or reissue)		143	460	243	230	Design issue fee		144	620	244	310	Plant issue fee		122	130	122	130	Petitions to the Commissioner		123	50	123	50	Petitions related to provisional applications		126	180	126	180	Submission of Information Disclosure Stmt		581	40	581	40	Recording each patent assignment per property (times number of properties)		146	740	246	370	Filing a submission after final rejection (37 CFR § 1.129(a))		149	740	249	370	For each additional invention to be examined (37 CFR § 1.129(b))		179	740	279	370	Request for Continued Examination (RCE)		169	900	169	900	Request for expedited examination of a design application		Other fee (specify)						SUBTOTAL (3)					(\$) 130.00
Fee Code	Large Entity Fee (\$)	Small Entity Fee (\$)	Fee Description	Fee Paid																																																																																																																																																																																								
105	130	205	65	Surcharge - late filing fee or oath	130.00																																																																																																																																																																																							
127	50	227	25	Surcharge - late provisional filing fee or cover sheet																																																																																																																																																																																								
139	130	139	130	Non-English specification																																																																																																																																																																																								
147	2,520	147	2,520	For filing a request for <i>ex parte</i> reexamination																																																																																																																																																																																								
112	920*	112	920*	Requesting publication of SIR prior to Examiner action																																																																																																																																																																																								
113	1,840*	113	1,840*	Requesting publication of SIR after Examiner action																																																																																																																																																																																								
115	110	215	55	Extension for reply within first month																																																																																																																																																																																								
116	400	216	200	Extension for reply within second month																																																																																																																																																																																								
117	920	217	460	Extension for reply within third month																																																																																																																																																																																								
118	1,440	218	720	Extension for reply within fourth month																																																																																																																																																																																								
128	1,960	228	980	Extension for reply within fifth month																																																																																																																																																																																								
119	320	219	160	Notice of Appeal																																																																																																																																																																																								
120	320	220	160	Filing a brief in support of an appeal																																																																																																																																																																																								
121	280	221	140	Request for oral hearing																																																																																																																																																																																								
138	1,510	138	1,510	Petition to institute a public use proceeding																																																																																																																																																																																								
140	110	240	55	Petition to revive - unavoidable																																																																																																																																																																																								
141	1,280	241	640	Petition to revive - unintentional																																																																																																																																																																																								
142	1,280	242	640	Utility issue fee (or reissue)																																																																																																																																																																																								
143	460	243	230	Design issue fee																																																																																																																																																																																								
144	620	244	310	Plant issue fee																																																																																																																																																																																								
122	130	122	130	Petitions to the Commissioner																																																																																																																																																																																								
123	50	123	50	Petitions related to provisional applications																																																																																																																																																																																								
126	180	126	180	Submission of Information Disclosure Stmt																																																																																																																																																																																								
581	40	581	40	Recording each patent assignment per property (times number of properties)																																																																																																																																																																																								
146	740	246	370	Filing a submission after final rejection (37 CFR § 1.129(a))																																																																																																																																																																																								
149	740	249	370	For each additional invention to be examined (37 CFR § 1.129(b))																																																																																																																																																																																								
179	740	279	370	Request for Continued Examination (RCE)																																																																																																																																																																																								
169	900	169	900	Request for expedited examination of a design application																																																																																																																																																																																								
Other fee (specify)																																																																																																																																																																																												
SUBTOTAL (3)					(\$) 130.00																																																																																																																																																																																							
<p>2. <input type="checkbox"/> Payment Enclosed:</p> <p><input type="checkbox"/> Check <input type="checkbox"/> Credit Card <input type="checkbox"/> Money Order <input type="checkbox"/> Other</p>		<p>1. BASIC FILING FEE</p> <table border="1"><thead><tr><th>Large Entity Fee Code</th><th>Large Entity Fee (\$)</th><th>Small Entity Fee Code</th><th>Small Entity Fee (\$)</th><th>Fee Description</th><th>Fee Paid</th></tr></thead><tbody><tr><td>101</td><td>740</td><td>201</td><td>370</td><td>Utility filing fee</td><td>740.00</td></tr><tr><td>106</td><td>330</td><td>206</td><td>165</td><td>Design filing fee</td><td></td></tr><tr><td>107</td><td>510</td><td>207</td><td>255</td><td>Plant filing fee</td><td></td></tr><tr><td>108</td><td>740</td><td>208</td><td>370</td><td>Reissue filing fee</td><td></td></tr><tr><td>114</td><td>760</td><td>214</td><td>80</td><td>Provisional filing fee</td><td></td></tr><tr><td colspan="5">SUBTOTAL (1)</td><td>(\$) 740.00</td></tr></tbody></table>		Large Entity Fee Code	Large Entity Fee (\$)	Small Entity Fee Code	Small Entity Fee (\$)	Fee Description	Fee Paid	101	740	201	370	Utility filing fee	740.00	106	330	206	165	Design filing fee		107	510	207	255	Plant filing fee		108	740	208	370	Reissue filing fee		114	760	214	80	Provisional filing fee		SUBTOTAL (1)					(\$) 740.00																																																																																																																																															
Large Entity Fee Code	Large Entity Fee (\$)	Small Entity Fee Code	Small Entity Fee (\$)	Fee Description	Fee Paid																																																																																																																																																																																							
101	740	201	370	Utility filing fee	740.00																																																																																																																																																																																							
106	330	206	165	Design filing fee																																																																																																																																																																																								
107	510	207	255	Plant filing fee																																																																																																																																																																																								
108	740	208	370	Reissue filing fee																																																																																																																																																																																								
114	760	214	80	Provisional filing fee																																																																																																																																																																																								
SUBTOTAL (1)					(\$) 740.00																																																																																																																																																																																							
<p>2. EXTRA CLAIM FEES</p> <table border="1"><thead><tr><th>Total Claims</th><th>Extra Claims</th><th>Fee from below</th><th>Fee Paid</th></tr></thead><tbody><tr><td>52</td><td>-20**= 32</td><td>18</td><td>576.00</td></tr><tr><td>Independent Claims</td><td>6</td><td>-3**= 3</td><td>84</td><td>252.00</td></tr><tr><td>Multiple Dependent</td><td></td><td></td><td></td><td></td></tr></tbody></table> <table border="1"><thead><tr><th>Large Entity Fee Code</th><th>Large Entity Fee (\$)</th><th>Small Entity Fee Code</th><th>Small Entity Fee (\$)</th><th>Fee Description</th></tr></thead><tbody><tr><td>103</td><td>18</td><td>203</td><td>9</td><td>Claims in Excess of 20</td></tr><tr><td>102</td><td>84</td><td>202</td><td>42</td><td>Independent claims in excess of 3</td></tr><tr><td>104</td><td>280</td><td>204</td><td>140</td><td>Multiple dependent claim, if not paid</td></tr><tr><td>109</td><td>84</td><td>209</td><td>42</td><td>** Reissue independent claims over original patent</td></tr><tr><td>110</td><td>18</td><td>210</td><td>9</td><td>** Reissue claims in excess of 20 and over original patent</td></tr><tr><td colspan="5">SUBTOTAL (2)</td><td>(\$) 828.00</td></tr></tbody></table> <p>**or number previously paid, if greater; For Reissues, see above</p>		Total Claims	Extra Claims	Fee from below	Fee Paid	52	-20**= 32	18	576.00	Independent Claims	6	-3**= 3	84	252.00	Multiple Dependent					Large Entity Fee Code	Large Entity Fee (\$)	Small Entity Fee Code	Small Entity Fee (\$)	Fee Description	103	18	203	9	Claims in Excess of 20	102	84	202	42	Independent claims in excess of 3	104	280	204	140	Multiple dependent claim, if not paid	109	84	209	42	** Reissue independent claims over original patent	110	18	210	9	** Reissue claims in excess of 20 and over original patent	SUBTOTAL (2)					(\$) 828.00	<p>4. OTHER FEES</p> <p>Other fee (specify)</p>																																																																																																																																				
Total Claims	Extra Claims	Fee from below	Fee Paid																																																																																																																																																																																									
52	-20**= 32	18	576.00																																																																																																																																																																																									
Independent Claims	6	-3**= 3	84	252.00																																																																																																																																																																																								
Multiple Dependent																																																																																																																																																																																												
Large Entity Fee Code	Large Entity Fee (\$)	Small Entity Fee Code	Small Entity Fee (\$)	Fee Description																																																																																																																																																																																								
103	18	203	9	Claims in Excess of 20																																																																																																																																																																																								
102	84	202	42	Independent claims in excess of 3																																																																																																																																																																																								
104	280	204	140	Multiple dependent claim, if not paid																																																																																																																																																																																								
109	84	209	42	** Reissue independent claims over original patent																																																																																																																																																																																								
110	18	210	9	** Reissue claims in excess of 20 and over original patent																																																																																																																																																																																								
SUBTOTAL (2)					(\$) 828.00																																																																																																																																																																																							

SUBMITTED BY		Complete (if applicable)			
Name (Print/Type)	Jason K. Klindtworth	Registration No. (Attorney/Agent)	47,211	Telephone	503-595-2800
Signature		Date	May 15, 2002		

WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038

Burden Hour Statement: This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231.



COPY OF PAPERS
ORIGINALLY FILED

ME

Please type a plus sign (+) inside this box → ☒

PTO/SB/21 (08-00)
Approved for use through 10/31/2002. OMB 0651-0031
U.S. Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE
Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

TRANSMITTAL FORM (to be used for all correspondence after initial filing)	Application Number	10/082,794	
	Filing Date	February 22, 2002	
	First Named Inventor	David Bau, III	
	Group Art Unit	2151	
	Examiner Name	Not yet assigned	
Total Number of Pages in This Submission	32	Attorney Docket Number	41016.P008

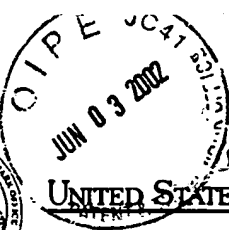
ENCLOSURES (check all that apply)		
<input checked="" type="checkbox"/> Fee Transmittal Form	<input type="checkbox"/> Assignment Papers (for an Application)	<input type="checkbox"/> After Allowance Communication to Group
<input type="checkbox"/> Fee Attached	<input type="checkbox"/> Drawing(s)	<input type="checkbox"/> Appeal Communication to Board of Appeals and Interferences
<input type="checkbox"/> Amendment / Reply	<input type="checkbox"/> Licensing-related Papers	<input type="checkbox"/> Appeal Communication to Group (Appeal Notice, Brief, Reply Brief)
<input type="checkbox"/> After Final	<input type="checkbox"/> Petition	<input type="checkbox"/> Proprietary Information
<input type="checkbox"/> Affidavits/declaration(s)	<input type="checkbox"/> Petition to Convert to a Provisional Application	<input type="checkbox"/> Status Letter
<input type="checkbox"/> Extension of Time Request	<input type="checkbox"/> Power of Attorney, Revocation Change of Correspondence Address	<input checked="" type="checkbox"/> Other Enclosure(s) (please identify below):
<input type="checkbox"/> Express Abandonment Request	<input type="checkbox"/> Terminal Disclaimer	1. Return Receipt Postcard
<input type="checkbox"/> Information Disclosure Statement	<input type="checkbox"/> Request for Refund	2. Signed Declaration/Power of Attorney (25 pages)
<input type="checkbox"/> Certified Copy of Priority Document(s)	<input type="checkbox"/> CD, Number of CD(s) _____	3. Copy Notice to File Missing Parts of Non-Provisional Application (1 page)
<input type="checkbox"/> Response to Missing Parts/Incomplete Application	Remarks	
<input checked="" type="checkbox"/> Response to Missing Parts under 37 CFR 1.52 or 1.53		

SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT	
Firm or Individual name	Jason K. Klindtworth, Reg. No. 47,211 COLUMBIA IP LAW GROUP, PC
Signature	
Date	May 15, 2002

CERTIFICATE OF MAILING	
I hereby certify that this correspondence is being deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Commissioner for Patents, Washington, DC 20231 on this date: 05/15/2002	
Typed or printed name	Michelle J. Turner
Signature	
Date	05/15/2002

Burden Hour Statement: This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231.

CV



UNITED STATES PATENT AND TRADEMARK OFFICE

COPY ON PAPER
ORIGINALLY FILED

#3

COMMISSIONER FOR PATENTS
UNITED STATES PATENT AND TRADEMARK OFFICE
WASHINGTON, D.C. 20231
www.uspto.gov

APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
10/082,794	02/22/2002	David Bau III	41016.P008

000025943
COLUMBIA IP LAW GROUP, PC
10260 SW GREENBURG ROAD
SUITE 820
PORTLAND, OR 97223

CONFIRMATION NO. 2046

FORMALITIES LETTER



OC000000007650265

Date Mailed: 03/15/2002

NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

Filing Date Granted

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The statutory basic filing fee is missing.
Applicant must submit \$ 740 to complete the basic filing fee for a non-small entity. If appropriate, applicant may make a written assertion of entitlement to small entity status and pay the small entity filing fee (37 CFR 1.27).
- Total additional claim fee(s) for this application is \$828.
 - \$576 for 32 total claims over 20.
 - \$252 for 3 independent claims over 3 .
- The oath or declaration is missing.
A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(l) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- The balance due by applicant is \$ 1698.

*A copy of this notice **MUST** be returned with the reply.*

Customer Service Center
Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE

10082794

06/05/2002 ZJUNAK1 00000016 501569

01 FC:101 740.00 CH
02 FC:102 252.00 CH
03 FC:103 576.00 CH
04 FC:105 130.00 CH